1345 Avenue of the Americas
New York, NY 10105
www.bernstein.com

Biographies

Sue Caplan

Financial Advisor

Sue Caplan is a Vice President and Financial Advisor at Bernstein. She brings over twenty years of investment experience to her work with clients to design, implement and maintain customized investment strategies to address all aspects of their wealth management. Caplan advises high-net-worth individuals, families and institutions on their taxable and retirement accounts, trusts, foundations and endowments. Working closely with her clients and, when appropriate, their tax, legal and insurance advisors, she takes a thoughtful, comprehensive approach to assess and clarify clients' financial and estate planning goals. Her range of expertise includes retirement planning, multigenerational wealth transfer, management of concentrated stock positions, and the sale of privately held businesses. Prior to joining the firm in 2007, Caplan was a managing director in institutional equities at Bear Stearns for 15 years and SVP at Labe, Simpson & Company for five years, where she worked with multibillion-dollar hedge funds, mutual funds, pension funds and endowment funds. Previously, she worked at Tandem Computers, a Kleiner Perkins–backed Silicon Valley start-up, as a software engineer and product manager. Caplan earned a BA, cum laude in theoretical mathematics, distinction in all subjects, from Cornell University. She serves on the President's Council of Cornell Women, formerly served on her Manhattan co-op board, and was selected for the Cornell Women's Network, where she mentors students interested in careers in finance. Caplan also serves on the Entrepreneurship at Cornell Council, supporting the university's diverse university-wide programs that find and foster the entrepreneurial spirit. She lives in New York City and East Hampton.

Tara Thompson Popernik, CFA, CFP®

Director of Research—Wealth Strategies Group

Tara Thompson Popernik was named the Director of Research for the Wealth Strategies Group in 2011 and is responsible for leading research initiatives on investment planning and asset allocation issues facing high-net-worth families, family offices, and endowments and foundations. Previously, she was a wealth management specialist, and before that she was a senior investment planning analyst. Prior to joining the firm in 2003, Tara was a paralegal in the Capital Markets Group of Cadwalader, Wickersham & Taft. She earned a BA with honors in comparative literature from Dartmouth College. Tara is a Chartered Financial Analyst charterholder and a CERTIFIED FINANCIAL PLANNER™ professional.